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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q95277

Jinichiro KOGA, et al.

Appln. No.: 10/581,717

Group Art Unit: 1652

Confirmation No.: 3601

Examiner: Manjunath N. Rao

Filed: June 5, 2006

For: ENDOGLUCANASE STCE AND CELLULASE PREPARATION CONTAINING THE SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for U.S. patents and/or U.S. patent publications, together with a copy of a Supplementary European Search Report and an English translation of the Written Opinion of the International Searching Authority (copy attached) for the counterpart European Patent Application of PCT/JP2004/015733 wherein the listed documents were cited.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

It is noted that the three documents cited in the Written Opinion were previously cited in the International Search Report filed with the Information Disclosure Statement of June 5, 2006, and thus those documents are not being cited again.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Supplementary European Search Report and an English translation of the Written Opinion of the International Searching Authority from the European Patent Office in the counterpart European Patent Application of PCT/JP2004/015733 citing such documents, indicating the degree of relevance found by the European Patent Office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following:

1. WO 98/12307 is an English language document; also, an English language abstract of WO 98/12307 submitted herewith constitutes a concise statement of relevance of WO 98/12307. Furthermore, WO 98/12307 corresponds to U.S. Patent Application Publication No. 2003/0092097 A1, also cited herein.

2. An English language abstract of WO 2005/056787 submitted herewith constitutes a concise statement of relevance of WO 2005/056787. Furthermore, WO 2005/056787 corresponds to U.S. Patent Application Publication No. 2007/0099265 A1.

3. The indication in the International Search Report of the degree of relevance found by the foreign patent office and the submission of an English language abstract for EP 1 291 431 each constitutes a concise statement of relevance of EP 1 291 431. Furthermore, EP 1 291 431 corresponds to WO 01/90375.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



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23373
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Date: June 21, 2007

<p>Substitute for Form 1449 A & B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				<i>Complete if Known</i>	
				Application Number	10/581,717
				Confirmation Number	3601
				Filing Date	June 5, 2006
				First Named Inventor	Jinichiro KOGA
				Art Unit	1652
				Examiner Name	Manjunath N. Rao
Sheet		1	of	1	Attorney Docket Number
		Q95277			

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 2003/0092097	A1	05-15-2003	Andersen et al
		US 2007/0099265	A1	05-03-2007	Watanabe et al
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		WO	97/43409		11-20-1997	NOVO NORDISK A/S	
		WO	98/12307		03-26-1998	NOVO NORDISK A/S	English document, Abstract and corresponds to USP Application Publication No. 2003/0092097
		WO	2005/056787	A1	06-23-2005	MEIJI SEIKA KAISHA	Abstract and corresponds to USP Application Publication No. 2007/0099265
		EP	1 291 431	A1	03-12-2003	MEIJI SEIKA KAISHA, LTD	English document, Abstract, ISR, and Corresponds to WO 01/90375
		EP	0 959 128	A1	11-24-1999	MEIJI SEIKA KABUSHIKI KAISHA	
		EP	1 344 820	A1	02-17-2003	MEIJI SEIKA KAISHA, LTD	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.